

Governance, Audit, Risk Management and Standards Committee

Agenda

Date: Tuesday 26 January 2021

Time: 6.30 pm

Venue: Virtual Meeting - Online

Membership (Quorum 3)

Chair: Councillor David Perry

Labour Councillors: Ghazanfar Ali
Peymana Assad
Kairul Kareema Marikar

Conservative Councillors: Philip Benjamin
Amir Moshenson
Kanti Rabadia (VC)

Labour Reserve Members:

1. Maxine Henson
2. Niraj Dattani
3. Antonio Weiss
4. Chloe Smith

Conservative Reserve Members:

1. Pritesh Patel
2. John Hinkley
3. Chris Mote

Contact: Daksha Ghelani, Senior Democratic Services Officer
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Scan this code for the electronic agenda:



Useful Information

Meeting details

This meeting is open to the press and public and can be viewed on www.harrow.gov.uk/virtualmeeting

Filming / recording of meetings

Please note that proceedings at this meeting may be recorded or filmed. If you choose to attend, you will be deemed to have consented to being recorded and/or filmed.

The recording will be made available on the Council website following the meeting.

Agenda publication date: Friday 15 January 2021

Agenda - Part I

1. Attendance by Reserve Members

To note the attendance at this meeting of any duly appointed Reserve Members.

Reserve Members may attend meetings:-

- (i) to take the place of an ordinary Member for whom they are a reserve;
- (ii) where the ordinary Member will be absent for the **whole** of the meeting; and
- (iii) the meeting notes at the start of the meeting at the item 'Reserves' that the Reserve Member is or will be attending as a reserve;
- (iv) if a Reserve Member whose intention to attend has been noted arrives after the commencement of the meeting, then that Reserve Member can only act as a Member from the start of the next item of business on the agenda after his/her arrival.

2. Declarations of Interest

To receive declarations of disclosable pecuniary or non pecuniary interests, arising from business to be transacted at this meeting, from:

- (a) all Members of the Committee;
- (b) all other Members present.

3. Minutes (Pages 7 - 10)

That the minutes of the meeting held on 1 December 2020 be taken as read and signed as a correct record.

4. Public Questions *

To receive any public questions received in accordance with Committee Procedure Rule 17 (Part 4B of the Constitution).

Questions will be asked in the order in which they were received. There will be a time limit of 15 minutes for the asking and answering of public questions.

[The deadline for receipt of public questions is 3.00 pm, Thursday 21 January 2021. Questions should be sent to publicquestions@harrow.gov.uk

No person may submit more than one question].

5. Petitions

To receive petitions (if any) submitted by members of the public/Councillors under the provisions of Committee Procedure Rule 15 (Part 4B of the Constitution).

6. Deputations

To receive deputations (if any) under the provisions of Committee Procedure Rule 16 (Part 4B) of the Constitution.

7. References from Council and other Committees/Panels

To receive references from Council and any other Committees or Panels (if any).

8. Application for Dispensation (Pages 11 - 18)

Report of the Director of Legal and Governance Services

9. **Failure to comply with actions recommended by the Ombudsman** (Pages 19 - 22)
Report of the Interim Chief Planning Officer and Divisional Director of Housing
10. **Treasury Management Strategy Statement and Annual Investment Strategy: Treasury Management Outturn 2019/20 and Mid-year Review 2020/21** (To Follow)
Report of the Director of Finance
11. **Information Report - Internal Audit and Corporate Anti-Fraud Mid Year report and Quarter 3 Update 2020/21** (Pages 23 - 46)
Report of the Director of Finance
12. **2021/22 Internal Audit Planning Process and Consultation** (Pages 47 - 52)
Report of the Director of Finance
13. **Any Other Urgent Business**
Which cannot otherwise be dealt with.
14. **Motion to Exclude the Press and Public**
To resolve that the press and public be excluded from the meeting for the following items of business, on the grounds that they involve the likely disclosure of confidential information in breach of an obligation of confidence, or of exempt information as defined in Part I of Schedule 12A to the Local Government Act 1972:

<u>Agenda Item No</u>	<u>Title</u>	<u>Description of Exempt Information</u>
15.	Information Report - Internal Audit and Corporate Anti-Fraud Mid Year report and Quarter 3 Update 2020/21 – Appendix 2	Exempt from publication under paragraph 3 of Schedule 12A to the Local Government Act 1972 (as amended) as it contains information relating to the financial or business affairs of any particular person (including the authority holding that information)
16.	Information Report - Quarter 3 2020/21 Corporate Risk Register	Exempt from publication under paragraph 3 of Schedule 12A to the Local Government Act 1972 (as amended) as it contains information relating to the financial or business affairs of any particular person (including the authority holding that information)

Agenda - Part II

15. **Information Report - Internal Audit and Corporate Anti-Fraud Mid Year report and Quarter 3 Update 2020/21** (Pages 53 - 62)
Appendix 2 to the report of the Director of Finance is attached.
16. **Information Report - Quarter 3 2020/21 Corporate Risk Register** (Pages 63 - 96)
Report of the Director of Finance

*** Data Protection Act Notice**

The Council will audio record item 4 (Public Questions) and will place the audio recording on the Council's website, which will be accessible to all.

[Note: The questions and answers will not be reproduced in the minutes.]